

## ANALYSIS OF HEAPSORT ALGORITHM



HEAP CREATION WITH INSERT:  $T(n) = O(n \log n)$

**WITH INSERT**  
 **$T(n) = O(n \log n)$**

We have first of all the heap creation process which is  $N \log N$  time complexity using insert..

First we will consider the number of nodes in a binary tree at a level l---

Level of the tree	Number of nodes
1	1
2	2
3	4

4	8
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I	$2^{i-1}$

Let us consider the height  $h$  of a binary tree of  $n$  nodes---

Number of nodes	Height	Estimate
1	1	$\text{Log}_2(1+1)$
2	2	$\text{Ceil}(\text{Log}_2(2+1))$
3	2	$\text{Log}_2(3+1)$
4	3	$\text{Ceil}(\text{Log}_2(4+1))$
5	3	$\text{Ceil}(\text{Log}_2(4+1))$
6	3	$\text{Ceil}(\text{Log}_2(4+1))$
7	3	$\text{Ceil}(\text{Log}_2(4+1))$
8	4	$\text{Ceil}(\text{Log}_2(5+1))$
9	4	$\text{Ceil}(\text{Log}_2(5+1))$
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N		$\text{Ceil}(\text{Log}_2(n+1))$

A node inserted at level  $l$  has to move up  $l-1$  levels at most.

$2^{l-1}$  nodes at level  $l$  have to move up  $l-1$  levels at most.

For  $n$  nodes the number of levels is  $\text{Ceil}(\text{Log}_2(n+1))$ .

$T(n) =$  for level from 1 to  $\text{Ceil}(\text{Log}_2(n+1))$  do

Move  $2^{\text{levels}-1}$  nodes levels-1 distance

$$= \sum_{1 \leq \text{levels} \leq \text{maxlevels}} (\text{levels}-1) 2^{\text{levels}-1}, \text{ for maxlevels} = \text{Ceil}(\text{Log}_2(n+1))$$

Now consider the geometric progression]

$$1 + r + r^2 + r^3 + \dots + r^n = (r^{n+1} - 1) / (r - 1)$$

Differentiating both sides

$$1 + 2r + 3r^2 + 4r^3 + \dots + nr^{n-1} = d/dr[(r^{n+1} - 1) / (r - 1)]$$

Multiplying both sides by  $r$

$$\begin{aligned} r + 2r^2 + 3r^3 + \dots + nr^n &= r \cdot d/dr[(r^{n+1} - 1) / (r - 1)] \\ &= [r/(r-1)][n+1] r^n - [(r^{n+1} - 1) / (r-1)^2] \end{aligned}$$

$$\begin{aligned}
T(N) &= [r + 2r^2 + 3r^3 + \dots + nr^n]_{r=2, n=\text{number of levels}} \\
&= 2[n+1] 2^n - [(2^{n+1} - 1)] \\
&= n2^{n+1} + 1 \\
&< 2n2^{n+1} + 1 \\
&= O(n2^n)
\end{aligned}$$

n = number of levels

$$= \text{Ceil}(\log_2(n+1))$$

So  $T(N) = O(N \log N)$  is the time for heap creation.

**HEAP CREATION WITH HEAPIFY:  $T(n) = O(n)$**



If there are n nodes in the heap then the height of the tree is levels =

$$\text{Ceil}(\log_2(n+1))$$

.

At a level there are  $2^{\text{level}-1}$  nodes, and a node at a level, has to migrate levels-level times.

Hence the time complexity of heapify is

$$\begin{aligned}
 T(n) = & [1 \text{ node at level 1 has to migrate levels-1 times}] \\
 & + [2 \text{ nodes at level 2 have to migrate levels-2 times}] \\
 & + [4 \text{ nodes at level 3 have to migrate levels-3 times}] \\
 & + \text{-----} \\
 & + [2^{i-1} \text{ nodes at level I have to migrate levels-i times}]
 \end{aligned}$$

$$= \sum_{1 \leq i \leq \text{levels}} 2^{i-1} (\text{levels}-i)$$

(Let  $j = \text{levels}-i$  then  $i = j + \text{levels}$  and  $i-1 = \text{levels}-j-1$ )

$$= \sum_{1 \leq j \leq \text{levels}-1} j 2^{\text{levels}-j-1}$$

$$= 2^{\text{levels}-1} \sum_{1 \leq j \leq \text{levels}-1} j 2^{-j}$$

$$= 2^{\text{levels}-1} \sum_{1 \leq j < \infty} j 2^{-j}$$

[Consider the infinite geometric progression

$$1/(1-x) = 1 + x + x^2 + x^3 + x^4 + \dots$$

Differentiating both sides we have

$$1/(1-x)^2 = 1 + 2x + 3x^2 + 4x^3 + 5x^4 + \dots$$

Multiplying both sides by

$$x/(1-x)^2 = x + 2x^2 + 3x^3 + 4x^4 + \dots$$

Putting  $x = 1/2$  we have

$$2 = \sum_{1 \leq j < \infty} j 2^{j-1}$$

So  $T(n) < 2n = O(n)$

HEAPSORT:  $T(n) = O(n \log n)$

**HEAPSORT**  
 **$T(n) = O(n \log n)$**

Inserting an element in a heap takes  $O(\log N)$  time

Deleting an element from a heap takes  $O(\log N)$  time

In heapsort we delete  $N$  elements one after another so the time taken is

$O(N \log N)$ .

